

EC breakdown in tokamaks: validation on KSTAR and implications for ITER-like devices

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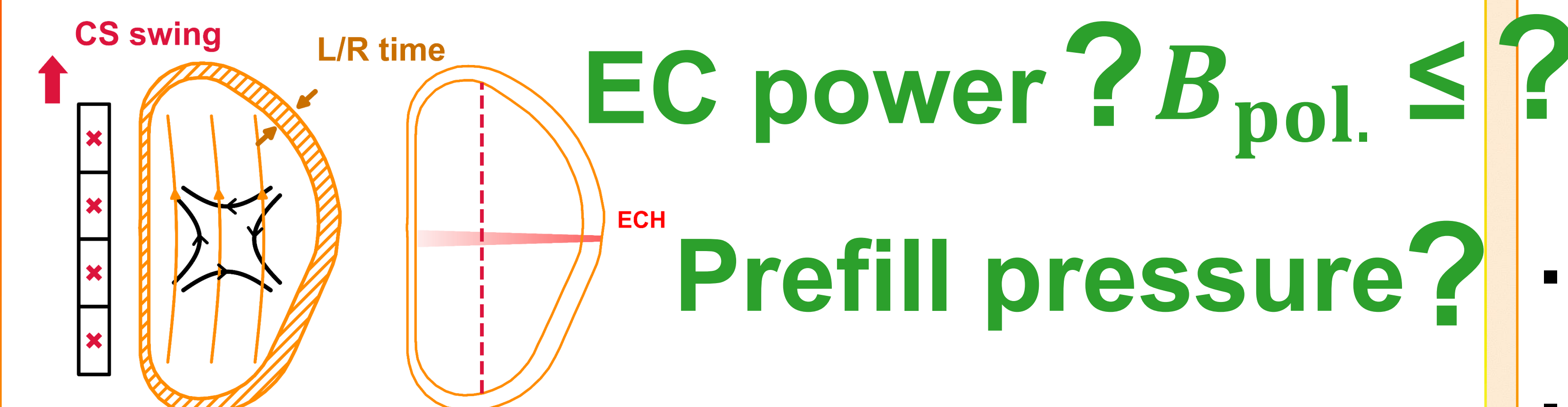
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Highlights

- The absence of a tractable, physics-based model for EC breakdown has hindered its systematic consideration in future start-up scenarios.
- In particular, quantitative operational criteria have remained unavailable. Consequently, whether EC breakdown will succeed in a future device could not be predicted in advance.
- For the first time, the EC breakdown boundary in prefill pressure p_{prefill} and connection length L_c is experimentally observed to be consistent with predictions from a recently developed particle-in-cell (PIC) code [2].
- Predictions for an ITER-like device indicate that EC breakdown remains accessible with 1 MW of EC power at 2 mPa, provided the connection length exceeds ~ 250 m.

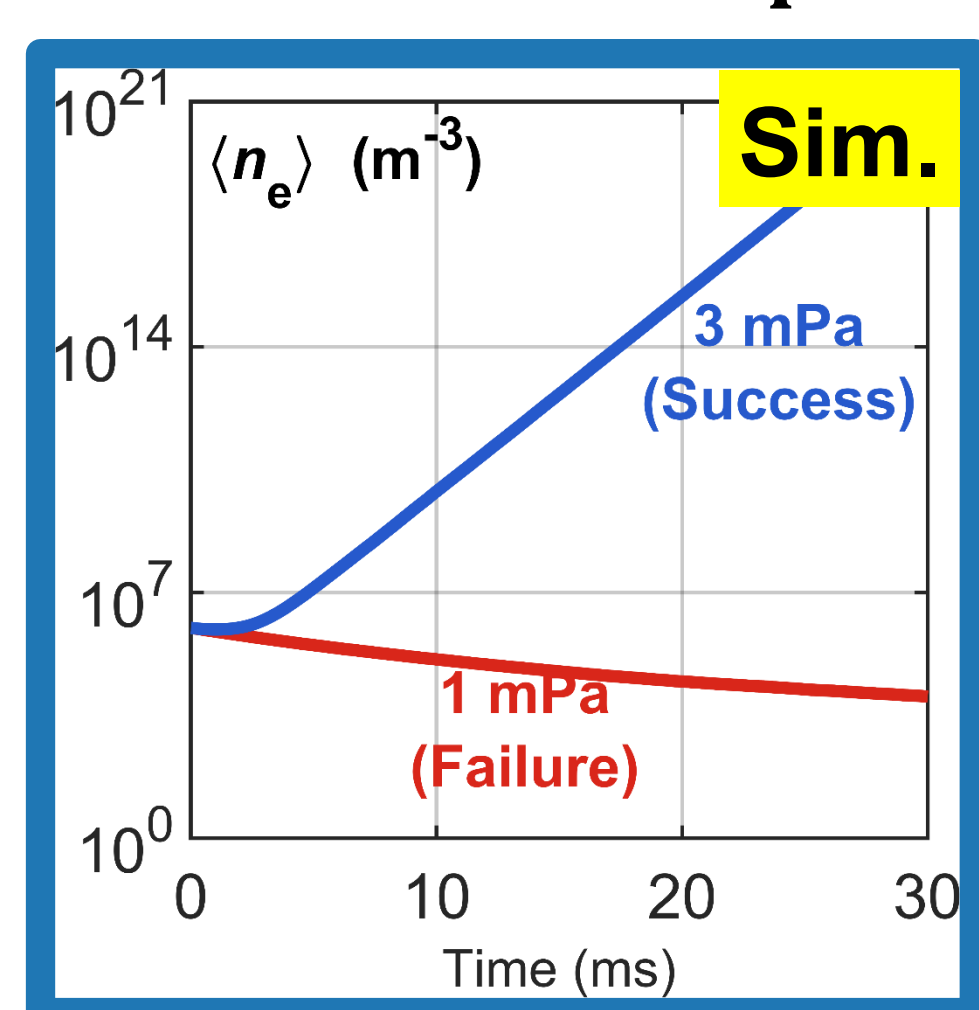
Motivation

- The low electrical resistance of reactor-scale vessels drives strong eddy currents that shield the inductive electric field and perturb the magnetic conditions required for electron avalanche growth [1]. This makes purely inductive breakdown difficult to predict, motivating EC breakdown (pre-ionization) as a non-inductive route [1,6,7].



- A first-principles PIC simulation of EC breakdown onset has recently become available [2], predicting the BD boundary in operational space.
- An experimental validation of this model against measured BD boundaries has not yet been carried out.

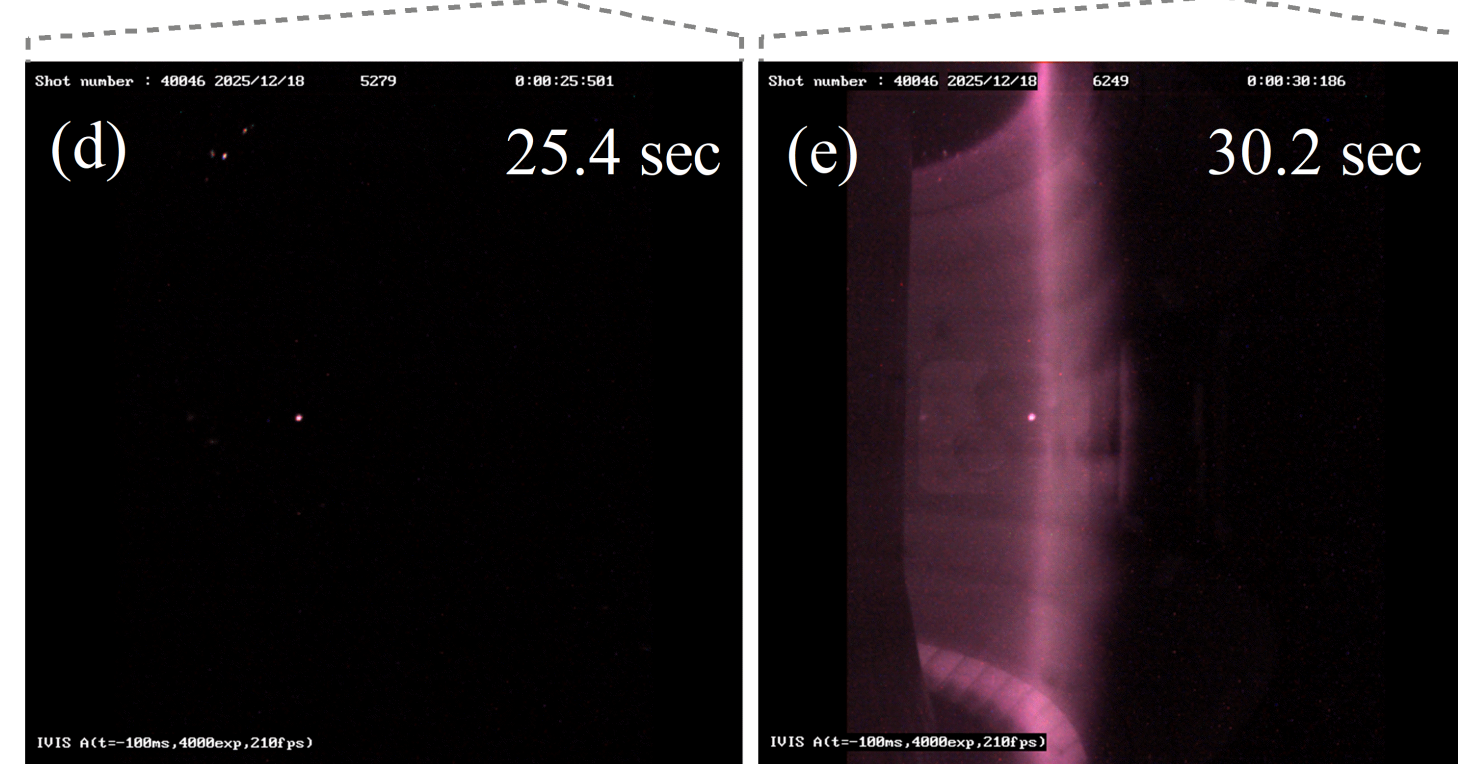
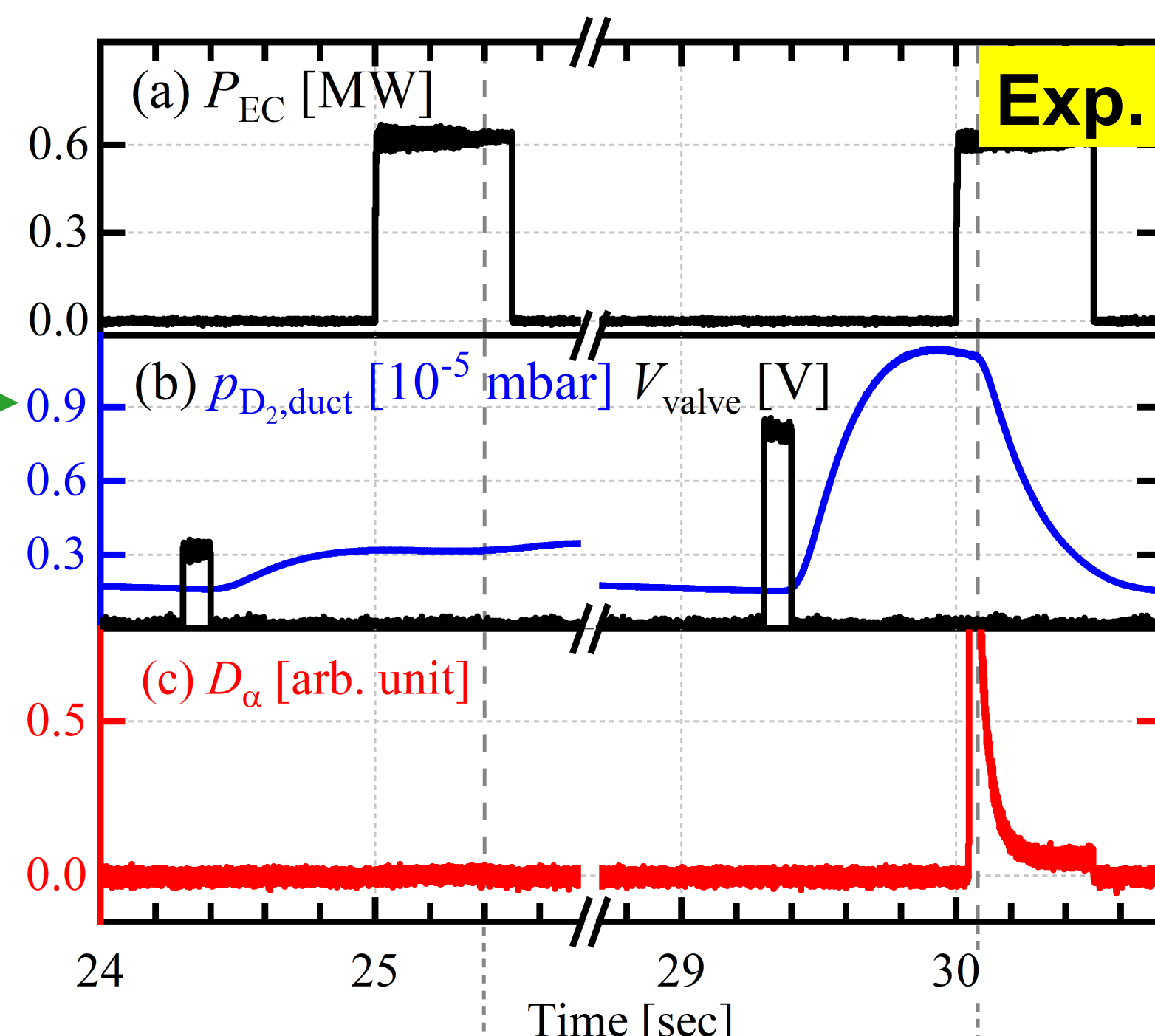
Designed a p_{prefill} scan at two L_c settings in KSTAR



PIC predicts the p_{prefill} BD boundary at target L_c

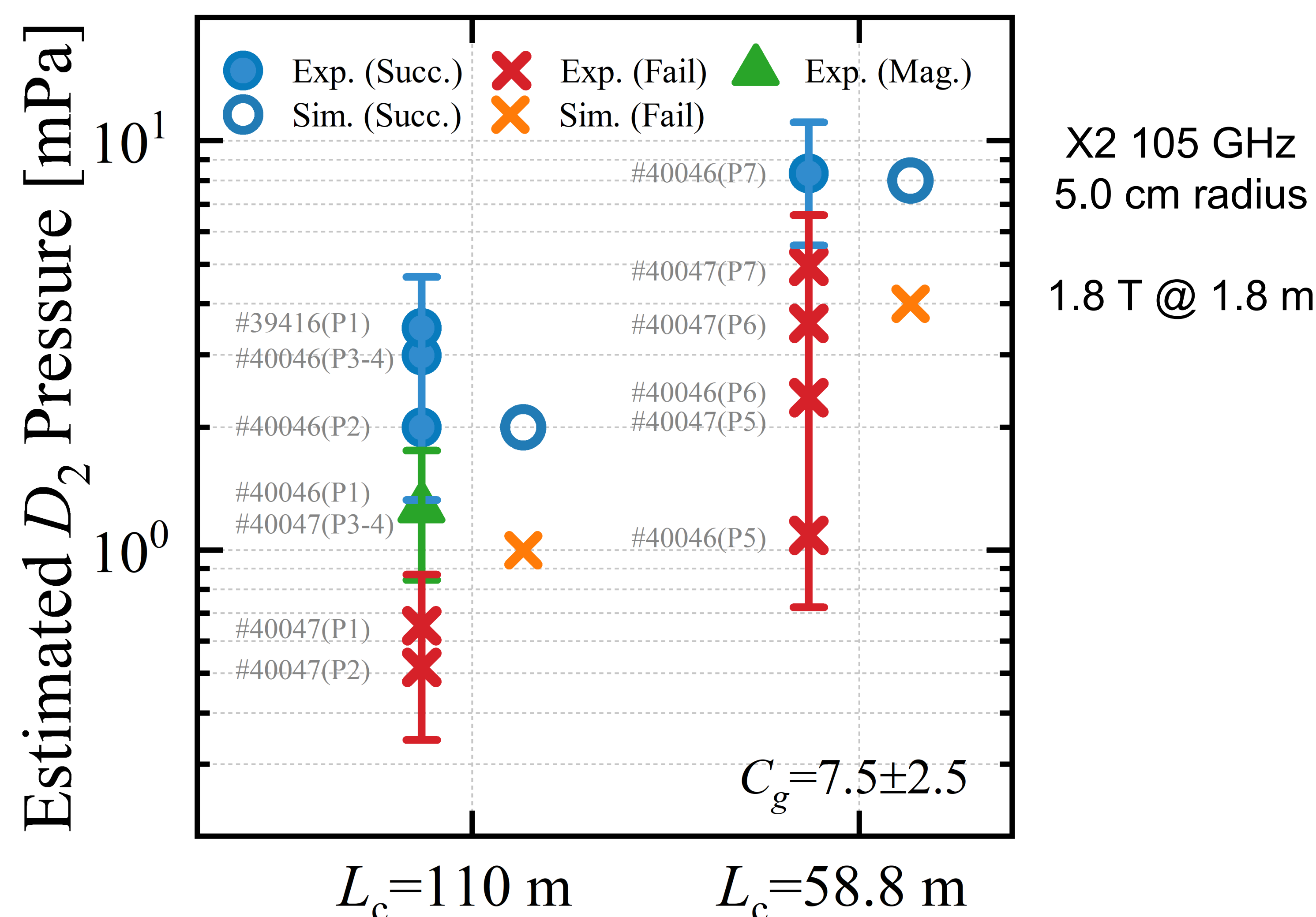
KSTAR's in-house magnetic field code gives I_{PF} to set target L_c

Gas valve calibration gives $V_{\text{valve}}(t)$ that scans across the predicted boundary



- Executed repeated D_2 -puff/EC-pulse pairs under **zero** V_{loop} , with the EC setting **fixed** across all attempts (0.5 s pulses). Scanned p_{prefill} by varying the gas-puff command [Fig.(b)]
- Determined breakdown by D_α : **failure** if all channels stayed below the **noise** floor during the EC pulse [Fig.(c), (d), (e)].
- Introduced a duct-to-vessel pressure correction factor C_g to estimate in-vessel p_{prefill} .
- Set two L_c values with two vertical-field levels—a controllable **proxy** for **stray field**—to obtain distinct thresholds robust to C_g uncertainty.

Experimental Validation of EC Breakdown Predictions

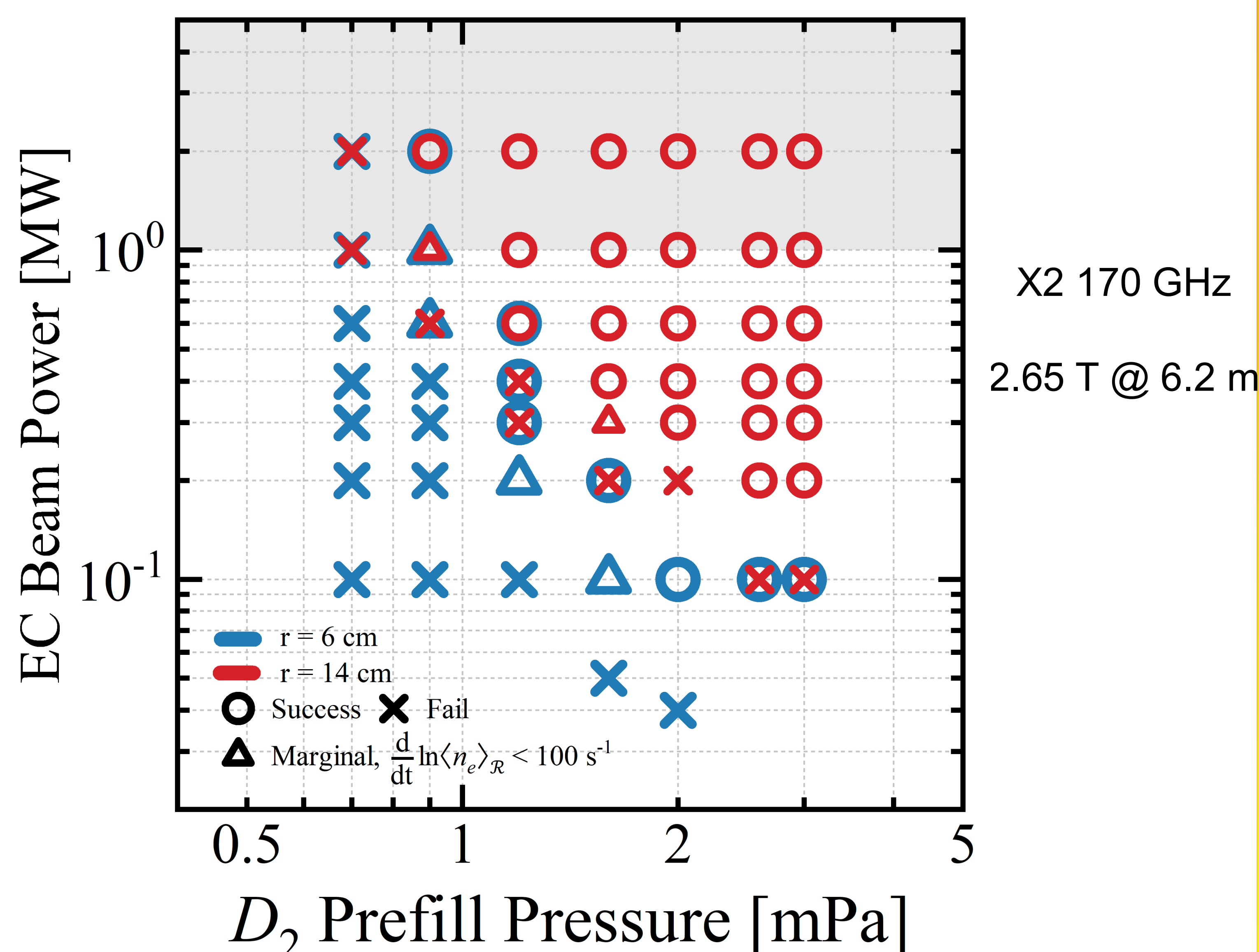


- PIC simulations reproducing the KSTAR conditions started from $n_e = 10^6 \text{ m}^{-3}$ and $T_e = 0.03 \text{ eV}$. A case was classified as **successful** if **sustained multiplication** reached $n_e > 10^{12} \text{ m}^{-3}$ within the 0.5 s EC pulse, and as **failed** otherwise.

With the in-vessel pressure inferred using $C_g = 7.5 \pm 2.5$, the

- observed EC breakdown boundary in p_{prefill} **agrees with PIC predictions** at both L_c settings.
- Near-threshold cases ($\blacktriangle$) exhibits substantially delayed breakdown onset (~ 100 ms) or mixed success/failure outcomes under nominally identical conditions.

Predictions for an ITER-like device



- The scan parameters are chosen to resemble those of **ITER plasma initiation modelling studies** [1,3,5], with the launch geometry simplified to normal incidence.
- A single gyrotron is assumed, with two beam radii ($r=6$ and 14 cm) spanning the ITER EC-launcher range [4].
- B_{pol} is set to the residual poloidal stray-field target for the ITER breakdown region [1], converted to an effective vertical field via a geometry factor calibrated against the DIII-D breakdown threshold [6].
- EC breakdown is **accessible near and below 1 MW at 2 mPa** for both beam radii.

[1] P. C. de Vries and Y. Gribov 2019 *Nucl. Fusion* **59**, 096043

[2] J. Gwak et al. 2025 30th IAEA FEC IAEA-CN-316-2994

[3] Y. Gribov et al. 2018 45th EPS Plasma Phys. P1.1075

[4] F. Fanale et al. 2021 *Fusion eng. Des.* **172** 112717

[5] H.-T. Kim et al. 2020 *Nucl. Fusion* **60**, 126049

[6] J. Sinha et al. 2022 *Nucl. Fusion* **62** 066013

[7] R. Yoneda et al. 2017 *Phys. Plasmas* **24** 062513